

# Special Topics on Applied Mathematical Logic

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Lecture 02

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## Outline

### Sentential Logic

- Building Elements
- Well-Formed Formulas
- Truth Assignments
- Formulas and Boolean Functions
- Compactness
- Effectiveness and Computability

# Sentential Logic

- ▶ *Sentential logic* is also known as *propositional logic*
- ▶ Sentential logic deals with “sentences” in the viewpoint of first-order logic
  - ▶ A sentence in first-order logic is abstracted as a sentence symbol in propositional logic
- ▶ Sentential logic is used to model propositional statements in natural languages

## Use of Sentential Logic in Natural Languages

Consider the double-slit experiment of quantum mechanics with the following events

A1: There is no detector behind both slits

A2: Electron detected at Slit 1

A3: Electron pass Slit 1

A4: Electron pass Slit 2

Example formulas:

$$A_1 \Rightarrow \neg A_2 \quad (1)$$

$$A_2 \Rightarrow \neg A_1 \quad (2)$$

$$A_2 \Rightarrow A_3 \quad (3)$$

$$A_1 \Rightarrow A_3 \quad (4)$$

$$A_2 \wedge A_3 \quad (5)$$

$$A_1 \Rightarrow (A_3 \wedge A_4) \quad (6)$$

# Building Elements of Sentential Logic

symbol	meaning
(	left parenthesis for punctuation
)	right parenthesis for punctuation
$\neg$	negation
$\wedge, \cdot$	conjunction
$\vee, +$	disjunction
$\Rightarrow$	implies
$\Leftrightarrow, \equiv, \overline{\oplus}$	iff
$A_1, A$	sentence/propositional symbols (Boolean variables)
$A_2, A'$	sentence/propositional symbols (Boolean variables)
$\vdots$	$\vdots$

- ▶ Logical symbols:  $(, ), \neg, \wedge, \vee, \Rightarrow, \Leftrightarrow$ 
  - ▶ Sentential connectives:  $\neg, \wedge, \vee, \Rightarrow, \Leftrightarrow$
- ▶ Nonlogical symbols (parameters):  $A_1, A_2, \dots$

## Well-Formed Formulas

- ▶ A **well-formed formula** (wff)  $\varphi$  is a “grammatically correct” expression
- ▶ An operational (recursive) definition of a wff  $\varphi$  is as follows

$$\varphi := A_i \mid (\neg\varphi_1) \mid (\varphi_1 \wedge \varphi_2) \mid (\varphi_1 \vee \varphi_2) \mid (\varphi_1 \Rightarrow \varphi_2) \mid (\varphi_1 \Leftrightarrow \varphi_2)$$

where “ $:=$ ” is read as “can be”, “ $\mid$ ” is read as “or”,  $A_i$  is some sentence symbol,  $\varphi_1$  and  $\varphi_2$  are wffs.

- ▶ A wff is an expression that can be built up from the sentence symbols by applying some *finite* number of times the formula-building operations

$$\begin{aligned}\mathcal{E}_{\neg}(\alpha) &= (\neg\alpha), \text{ and} \\ \mathcal{E}_{\square}(\alpha, \beta) &= (\alpha\square\beta)\end{aligned}$$

for  $\square = \wedge, \vee, \Rightarrow, \Leftrightarrow$

Mind these parentheses!

## Ancestral Trees

- ▶ Formula construction can be shown with an ancestral tree

E.g.,  $((A_1 \vee A_2) \Rightarrow A_3) \Leftrightarrow (\neg(A_4 \wedge (\neg A_3)))$

$$((A_1 \vee A_2) \Rightarrow A_3) \quad (\neg(A_4 \wedge (\neg A_3)))$$

$$(A_1 \vee A_2) \quad A_3 \quad (A_4 \wedge (\neg A_3))$$

$$A_1 \quad A_2 \quad A_4 \quad (\neg A_3)$$

$$A_3$$

## Properties of Wffs

The following properties can be shown by induction

- ▶ The construction tree of any wff is unique
- ▶ If  $S$  is a set of wffs containing all sentence symbols and closed under the formula-building operations, then  $S$  is the set of all wffs
- ▶ Any expression with more left parentheses than right ones is not a wff

## Formula Simplification and Polish Notation

To save on parentheses, we may use Polish notation (wffs  $\rightarrow$  P-wffs)

- ▶  $(\alpha \wedge \beta)$  becomes  $\wedge\alpha\beta$
- ▶  $\mathcal{E}_{\neg}(\alpha) = (\neg\alpha)$  becomes  $\mathcal{D}_{\neg} = \neg\alpha$
- ▶  $\mathcal{E}_{\Box}(\alpha, \beta) = (\alpha\Box\beta)$  becomes  $\mathcal{D}_{\Box}(\alpha, \beta) = \Box\alpha\beta$  for  $\Box \in \{\wedge, \vee, \Rightarrow, \Leftrightarrow\}$   
E.g.,  $\Leftrightarrow\Rightarrow \wedge AB\neg C \vee \neg DE$

Besides Polish notation, an alternative simplification is to apply the following rules *in order*:

1. omit outermost parentheses
2.  $\neg$  applies to as little as possible
3.  $\wedge$  applies to as little as possible
4.  $\vee$  applies to as little as possible
5. for a repeated connective symbol, grouping is to the right, e.g.,  $A \Rightarrow B \Rightarrow C \Rightarrow D$  is read as  $A \Rightarrow (B \Rightarrow (C \Rightarrow D))$

## Syntax vs. Semantics

Back to our example of double-slit experiment

- ▶  $(A_1 \Rightarrow (\neg A_2))$ :  
“grammatically” or “syntactically” correct (i.e., a wff);  
“physically” or “semantically” correct
- ▶  $(A_2 \wedge A_1)$ :  
“grammatically” correct; “physically” incorrect

$\left\{ \begin{array}{l} \text{syntax} \text{ — depends only on } \textit{expressions} \\ \text{semantics} \text{ — depends on } \textit{interpretations} \text{ or } \textit{truth assignments} \end{array} \right.$

# Truth Assignments

- ▶ Let  $\{F, T\}$  be the set of **truth values** with  $F$  being the **falsity** and  $T$  being the **truth**
- ▶ A **truth assignment** is a function  $v : S \rightarrow \{F, T\}$  assigning either  $F$  or  $T$  to each sentence symbol in  $S$
- ▶ To study the truth or falsity of a wff under some truth assignment, we extend  $v$  to  $\bar{v} : \bar{S} \rightarrow \{F, T\}$ , where  $\bar{S}$  is the set of wffs that can be built from  $S$  by formula-building operations

## Truth Assignments

Define  $\bar{v}$  as follows

case 0 For  $A \in S$ ,  $\bar{v}(A) = v(A)$

case 1 For  $\bar{v}((\neg\alpha)) = \begin{cases} T & \text{if } \bar{v}(\alpha) = F \\ F & \text{otherwise} \end{cases}$

case 2 For  $\bar{v}((\alpha \wedge \beta)) = \begin{cases} T & \text{if } \bar{v}(\alpha) = T \text{ and } \bar{v}(\beta) = T \\ F & \text{otherwise} \end{cases}$

case 3 For  $\bar{v}((\alpha \vee \beta)) = \begin{cases} T & \text{if } \bar{v}(\alpha) = T \text{ or } \bar{v}(\beta) = T \\ F & \text{otherwise} \end{cases}$

case 4 For  $\bar{v}((\alpha \Rightarrow \beta)) = \begin{cases} T & \text{if } \bar{v}(\alpha) = F \text{ or } \bar{v}(\beta) = T \\ F & \text{otherwise} \end{cases}$

case 5 For  $\bar{v}((\alpha \Leftrightarrow \beta)) = \begin{cases} T & \text{if } \bar{v}(\alpha) = \bar{v}(\beta) \\ F & \text{otherwise} \end{cases}$

where  $\alpha, \beta \in \bar{S}$

## Truth Assignments

E.g.,  $((A_1 \vee A_2) \Rightarrow A_3) \Leftrightarrow (\neg(A_4 \wedge (\neg A_3)))$

Applying  $\bar{v}$  with  $v(A_1) \mapsto T, v(A_2) \mapsto F, v(A_3) \mapsto F, v(A_4) \mapsto T$  yields

$$(((A_1 \vee A_2) \Rightarrow A_3) \Leftrightarrow (\neg(A_4 \wedge (\neg A_3))))$$

T

$$((A_1 \vee A_2) \Rightarrow A_3) \quad (\neg(A_4 \wedge (\neg A_3)))$$

F                      F

$$(A_1 \vee A_2) \quad A_3 \quad (A_4 \wedge (\neg A_3))$$

T                      F                      T

$$A_1 \quad A_2 \quad A_4 \quad (\neg A_3)$$

T              F                      T                      T

$$A_3$$

F

## Truth Assignments

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Applying  $\bar{v}$  with  $v(A_1) \mapsto T, v(A_2) \mapsto T, v(A_3) \mapsto F, v(A_4) \mapsto F$  yields

$$(((A_1 \vee A_2) \Rightarrow A_3) \Leftrightarrow (\neg(A_4 \wedge (\neg A_3))))$$

F

$$((A_1 \vee A_2) \Rightarrow A_3) \quad (\neg(A_4 \wedge (\neg A_3)))$$

F                      T

$$(A_1 \vee A_2) \quad A_3 \quad (A_4 \wedge (\neg A_3))$$

T                      F                      F

$$A_1 \quad A_2 \quad A_4 \quad (\neg A_3)$$

T              T                      F                      T

$$A_3$$

F

# Truth Assignments

The truth or falsity of a wff depends on the interpretations/truth assignments.

- ▶ Applying  $\bar{v}$  with  $v(A_1) \mapsto T, v(A_2) \mapsto F, v(A_3) \mapsto F, v(A_4) \mapsto T$  yields

$$\begin{array}{cccccccccc} (((A_1 \vee A_2) \Rightarrow A_3) \Leftrightarrow (\neg(A_4 \wedge (\neg A_3)))) \\ T & T & F & F & F & T & F & T & T & T & F \end{array}$$

- ▶ Applying  $\bar{v}$  with  $v(A_1) \mapsto T, v(A_2) \mapsto T, v(A_3) \mapsto F, v(A_4) \mapsto F$  yields

$$\begin{array}{cccccccccc} (((A_1 \vee A_2) \Rightarrow A_3) \Leftrightarrow (\neg(A_4 \wedge (\neg A_3)))) \\ T & T & T & F & F & F & T & F & F & T & F \end{array}$$

## Satisfiability and Tautology

- ▶ We say a truth assignment  $v$  **satisfies** a formula (wff)  $\varphi$  iff  $\bar{v}(\varphi) = T$
- ▶ A set  $\Sigma$  of wffs **tautologically implies**  $\tau$ , written  $\Sigma \models \tau$ , iff every truth assignment for the sentence symbols in  $\Sigma; \tau$  that satisfies every member of  $\Sigma$  also satisfies  $\tau$ 
  - ▶  $\models$  is about *semantics*, rather than *syntax*
  - ▶ For  $\Sigma = \emptyset$ , we have  $\emptyset \models \tau$ , simply written  $\models \tau$ . It says every truth assignment satisfies  $\tau$ . In this case,  $\tau$  is a **tautology**.
    - ▶  $\models \tau$  should be distinguished from  $F \models \tau$  and  $\{A, \neg A\} \models \tau$
  - ▶ For  $\Sigma$  is a singleton  $\{\sigma\}$ , we write  $\{\sigma\} \models \tau$  as  $\sigma \models \tau$
- ▶ If  $\sigma \models \tau$  and  $\tau \models \sigma$ , then  $\sigma$  and  $\tau$  are tautologically equivalent, written as  $\sigma \models \tau$



# Compactness Theorem

## Theorem (Compactness Theorem)

Let  $\Sigma$  be an infinite set of wffs s.t., for any finite subset  $\Sigma_0 \subseteq \Sigma$ , there is a truth assignment that satisfies every member of  $\Sigma_0$ .  
Then there is a truth assignment that satisfies every member of  $\Sigma$ .

## Truth Tables

- Consider  $(\neg(A \wedge B)) \models ((\neg A) \vee (\neg B))$  (De Morgan's Law)

$A$	$B$	$(\neg(A \wedge B))$	$((\neg A) \vee (\neg B))$
$F$	$F$	$T$	$T$
$F$	$T$	$T$	$T$
$T$	$F$	$T$	$T$
$T$	$T$	$F$	$F$

- More effective enumeration (enumerate product terms rather than minterms)

E.g.,  $((A \vee (B \wedge C)) \Leftrightarrow ((A \vee B) \wedge (A \vee C)))$

$\underline{T}$	$\underline{T}$	$T$	$\underline{T}$	$\underline{T}$	$\underline{T}$
$F$	$\underline{T}$	$\underline{T}$	$\underline{T}$	$F$	$\underline{T}$
$F$	$\underline{T}$	$F$	$\underline{T}$	$F$	$F$
$F$	$F$	$F$	$F$	$F$	$F$

# Selection of Sentential Connectives

Why  $\neg, \wedge, \vee, \Rightarrow, \Leftrightarrow$ ?

- ▶ Can extend the language with other sentential connectives
  - ▶ E.g., 3-place majority symbol  $\#$   
 $\bar{v}(\#\alpha\beta\gamma)$  is agree with the majority of  $\bar{v}(\alpha)$ ,  $\bar{v}(\beta)$ ,  $\bar{v}(\gamma)$
  - ▶ For any wff in the extended language, there is a tautologically equivalent wff in the original language. (The wff in the original language can be much longer however.)  
E.g.,  $\#\alpha\beta\gamma$  equals  $(\alpha \wedge \beta) \vee (\alpha \wedge \gamma) \vee (\beta \wedge \gamma)$

## Formulas and Boolean Functions

A Boolean function  $B_\alpha^n : \{F, T\}^n \rightarrow \{F, T\}$  can be extracted from a wff  $\alpha$

- ▶ An  $n$ -place Boolean function  $B_\alpha^n$  is defined by  $B_\alpha^n(x_1, \dots, x_n)$  = the truth value given to  $\alpha$  when  $A_1, \dots, A_n$  are given the values  $x_1, \dots, x_n$ , where  $A_1, \dots, A_n$  are sentence symbols of  $\alpha$   
E.g.,  $\alpha = (A_1 \vee A_2)$

$A_1$	$A_2$	$A_1 \vee A_2$	
$F$	$F$	$F$	$B_\alpha^2(F, F) = F$
$F$	$T$	$T$	$B_\alpha^2(F, T) = T$
$T$	$F$	$T$	$B_\alpha^2(T, F) = T$
$T$	$T$	$T$	$B_\alpha^2(T, T) = T$

# Formulas and Boolean Functions

## Theorem

Let  $\alpha$  and  $\beta$  be wffs whose sentence symbols are among  $A_1, \dots, A_n$ . Then

- (a)  $\alpha \models \beta$  iff for all  $\vec{X} \in \{F, T\}^n$ ,  $B_\alpha(\vec{X}) \leq B_\beta(\vec{X})$ 
  - Here we impose the order:  $F < T$
- (b)  $\alpha \models \beta$  iff  $B_\alpha = B_\beta$
- (c)  $\models \alpha$  iff  $B_\alpha$  is the constant function with value  $T$

# Formulas and Boolean Functions

## Theorem

Let  $G$  be an  $n$ -place Boolean function,  $n \geq 1$ . Then there exists a wff  $\alpha$  such that  $G = B_\alpha^n$  (i.e.,  $\alpha$  realizes  $G$ )

- Every Boolean function is realizable. The realization however is not unique.
- Tautologically equivalent wffs realize the same function

# Formulas and Boolean Functions

- ▶ For any wff, there is a tautologically equivalent wff in disjunctive normal form (DNF), a.k.a. sum-of-products (SOP)
- ▶ Every  $n$ -place Boolean function with  $n \geq 1$  can be realized by a wff using only the connective symbols  $\{\wedge, \vee, \neg\}$
- ▶  $\{\wedge, \vee, \neg\}$  is functionally complete
  - ▶  $\{\neg, \wedge\}$  and  $\{\neg, \vee\}$  are functionally complete
  - ▶  $\{\wedge, \Rightarrow\}$  is not functionally complete
- ▶ There are  $2^{2^n}$   $n$ -place Boolean functions
  - ▶ We can define  $2^{2^n}$   $n$ -ary connectives, each associate with an  $n$ -place Boolean function

## Compactness

A set  $\Sigma$  of wffs is called **satisfiable** iff there is a truth assignment that satisfies every member of  $\Sigma$

### Theorem (Compactness)

*A set  $\Sigma$  of wffs is satisfiable iff every finite subset is satisfiable.*

*That is,  $\Sigma$  is satisfiable iff  $\Sigma$  is **finitely satisfiable**, namely, every finite subset of  $\Sigma$  is satisfiable.*

### Proof (sketch).

$(\Rightarrow)$  trivial

$(\Leftarrow)$  ideas:

1. Extend  $\Sigma$  to a maximal set  $\Delta$  that remains finitely satisfiable
2. Utilize  $\Delta$  to make a truth assignment that satisfies  $\Sigma$



## Proof of Compactness Theorem (cont'd)

1. We enumerate the wffs as  $\alpha_1, \alpha_2, \dots$  (countable)

Define recursively

$$\begin{aligned}\Delta_0 &= \Sigma \\ \Delta_{n+1} &= \begin{cases} \Delta_n; \alpha_{n+1} & \text{if this is finitely satisfiable} \\ \Delta_n; \neg\alpha_{n+1} & \text{otherwise} \end{cases}\end{aligned}$$

Let  $\Delta = \bigcup_{n=1, \dots} \Delta_n$  (the limit of  $\Delta_n$ 's)

We know

- i  $\Sigma \subseteq \Delta$
  - ii for every wff  $\alpha$ , either  $\alpha \in \Delta$  or  $\neg\alpha \in \Delta$ , and
  - iii  $\Delta$  is finitely satisfiable
2. Define truth assignment  $v$  such that

$$v(A) = T \text{ iff } A \in \Delta$$

for any sentence symbol  $A$

Then by induction we can show that  $v$  satisfies  $\varphi$  iff  $\varphi \in \Delta$

Since  $\Sigma \subseteq \Delta$ ,  $v$  must satisfy every member of  $\Sigma$

**Q.E.D.**

## Compactness

### Corollary

*If  $\Sigma \models \tau$ , then there is a finite  $\Sigma_0 \subseteq \Sigma$  such that  $\Sigma_0 \models \tau$*

### Proof.

$\Sigma \models \tau \Leftrightarrow \Sigma; \neg\tau$  is unsatisfiable

For contradiction, assume  $\Sigma_0 \not\models \tau$  for every finite  $\Sigma_0 \subseteq \Sigma$

$\Rightarrow \Sigma_0; \neg\tau$  is satisfiable for every finite  $\Sigma_0 \subseteq \Sigma$

$\Rightarrow \Sigma; \neg\tau$  is finitely satisfiable

$\Rightarrow \Sigma; \neg\tau$  is satisfiable

$\Rightarrow \Sigma \not\models \tau$

□

# Effectiveness and Computability

- ▶ Given a set  $\Sigma; \alpha$  of wffs, we are concerned about if there is an *effective* procedure that will decide whether or not  $\Sigma \models \alpha$   
By *effectiveness*, the computation has to be of
  1. finite exact instructions (programs)
  2. mechanical reasoning
  3. finite run time
- ▶ There are uncountably many ( $2^{\aleph_0}$ ) sets of expressions, but only countably many effective procedures (finite instructions)

## Decidability vs. Semidecidability

- ▶ A set  $\Sigma$  of expressions is **decidable** iff there exists an *effective procedure* (algorithm) that, given an expression  $\alpha$ , decides whether or not  $\alpha \in \Sigma$
- ▶ A set  $\Sigma$  of expressions is **semidecidable** iff there exists an *effective procedure* (semialgorithm) that, given an expression  $\alpha$ , produces the answer “yes” iff  $\alpha \in \Sigma$ 
  - ▶ For  $\alpha \notin \Sigma$ , the procedure may or may not produce the answer “no”

## Decidability vs. Semidecidability

- ▶ There is an effective procedure that, given an expression  $\alpha$ , will decide whether or not it is a wff
- ▶ There is an effective procedure that, given a finite set  $\Sigma$ ;  $\alpha$  of wffs, will decide whether or not  $\Sigma \models \alpha$
- ▶ For a finite set  $\Sigma$  of wffs, the set of tautological consequences of  $\Sigma$  is decidable. In particular, the set of tautologies is decidable.
- ▶ If  $\Sigma$  is an infinite set (even decidable) of wffs, its set of tautological consequences may be undecidable (Chapter 3)

## Effective Enumerability

- ▶ A set  $\Sigma$  of expressions is **effectively enumerable** (or called **recursively enumerable**, **computably enumerable**, **Turing recognizable**) iff there exists an effective procedure that lists, in some order, the members of  $\Sigma$ 
  - ▶ If  $\Sigma$  is infinite, then the procedure can never finish
- ▶ A set is effectively enumerable iff it is semidecidable
  - ▶ Any decidable set is semidecidable, and thus effectively enumerable
  - ▶ A set of expressions is decidable iff both it and its complement are effectively enumerable

# Effective Enumerability

- ▶ If sets  $A$  and  $B$  are effectively enumerable, so are  $A \cup B$  and  $A \cap B$
- ▶ If sets  $A$  and  $B$  are decidable, so are  $A \cup B$ ,  $A \cap B$ , and  $\bar{A}$
- ▶ If  $\Sigma$  is a decidable set of wffs, then the set of tautological consequences of  $\Sigma$  is effectively enumerable
- ▶ There exists an enumeration for a set iff the set is countable
- ▶ Consider enumeration as a surjective (onto) mapping from  $\mathbb{N}$  to some set  $S$ .  $S$  is recursively enumerable if the mapping (function) is computable
  - ▶ A function is **(effectively) computable** iff there exists an effective procedure that, given an input  $x$ , will eventually produce the correct output  $f(x)$