# 2.3 60Gb/s NRZ and PAM4 Transmitters for 400GbE in 65nm CMOS

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Recent research indicates that data-link transceivers running at or below 40Gb/s are practical to implement in CMOS technology [1]. However, next-generation datacom and telecom systems require transceivers to operate at even higher data rates. For example, a 400Gb/s Ethernet system may need 8×50Gb/s PAM2 (NRZ) or PAM4 channels [2]. This paper introduces fully integrated solutions for NRZ and PAM4 transmitters. The 60Gb/s operating speed demonstrates sufficient bandwidth even for standards with coding overhead.

Figure 2.3.1 illustrates the NRZ transmitter architecture. It consists of a 4:1 multiplexer in a tree structure, a 60GHz PLL with adaptive phase aligner to optimize the clock phase in the last stage, and a built-in quarter-rate PRBS generator to facilitate testing. There are two independent modes to select the incoming data and clock by means of switches Sel1 and Sel2. In normal operation, the 4 input data ports are fed by 4 external independent data sequences (15Gb/s each). In self-testing mode, on the other hand, the inputs come from the built-in quarter-rate 27-1 PRBS generator. Similarly, the synchronizing clock can be selected from either from the internal PLL or from the external clock source. At 60Gb/s, the phase relationship between clock and data is critical to a functioning system. In the first multiplexing stage, delays  $\Delta T_1$ and  $\Delta T_2$  are inserted to balance the sample timing. These delays are designed to match the internal skews over a wide temperature range. At 60Gb/s, the phasealignment issue becomes so severe that a static delay does not work. For instance, the acceptable sampling window in the last stage (60Gb/s output) is about 8 to 10ps, but the phase drifting caused by PVT variations can be as large as 15 to 20ps. To accommodate the random phase relationship, we put a phase aligner in front of the second multiplexing stage to dynamically track the optimal clock and data phases. The phase tracking operates as follows. First, the synchronization clock (wherever it comes from) is divided by 2 to generate quadrature clocks at 30GHz. The data transition is examined by using a roughly 16.5ps delay  $\Delta T_3$  with a mixer (M1) to detect the arrival of the internal 30Gb/s data. With the help of the 30GHz phase interpolator (PI) and the second mixer (M2), we arrive at a feedback loop that forces the PI to produce the clock phase that aligns with the data transition. As a result, the 60Gb/s multiplexer can properly sample and serialize the data, even under extreme PVT variations.

The final 2:1 selector stage needs to provide wide bandwidth and reasonable gain. As shown in Fig. 2.3.2, it is made of a 3-stage distributed amplifier with CML switching pair in the bottom. Two data inputs and one switching clock are applied into transmission lines, travelling along the 3 stages until the end terminations. The output ports are also connected through transmission lines. Here, one end is terminated while the other is open as an output port. The final  $D_{\text{outb0}}$  can be ac coupled to external loading with 50 $\Omega$  termination. Simulation shows that the output matching  $S_{22}$  is kept below –10dB from dc up to 70GHz. The data  $(L_{\rm G})$  and clock  $(L_{\rm C})$  paths are designed to have characteristic impedance of 50 $\Omega$  as well, and the two paths have identical group velocity. Gate capacitances are absorbed into the transmission lines. It is essential to properly choose the number of stages (M) to achieve good performance. Taking into consideration the transmission-line loss and active device  $g_m$ , we determine that a 3-stage structure provides the best performance. As illustrated in Fig. 2.3.3(a), the total gain starts to roll off as N becomes larger than 3, whereas the overall power dissipation continues to increase. To avoid long routing, gate transmission lines are realized as lumped inductors in congested areas.

The PAM4 transmitter design is depicted in Fig. 2.3.4. It includes a built-in PLL for clock generation, and a two-path half-rate FFE with 3 taps and ×2 weighting factor. The original data is split into two sequences ( $D_{\text{inA}}$  and  $D_{\text{inB}}$ ) of 28Gb/s, which are pre-emphasized (with the same coefficients) before combination. To

ensure signal integrity, all high-speed paths are realized as transmission lines or equivalent peaking circuits. A key component that significantly affects the performance is the combiner (i.e., output driver). At tens of GHz, large-area elements such as inductors can no longer be considered lumped components, but rather distributed devices. In that sense, the peaking and signal-travelling circuits must be combined as a distributed network so as to minimize skews, reflection, and other non-idealities. Figure 2.3.3(b) reveals the combiner design. Here, peaking inductors  $L_{\rm D}$  and  $L_{\rm G}$  are inserted between taps to (1) absorb the gate and drain capacitance, (2) balance the travelling time. Back to the transmitter architecture in Fig. 2.3.4, we have the master clock designed in a way that it can be either provided externally or generated by the PLL (by switch Sel). The 28GHz nominal frequency is for some standard requirements defined in [3]. Again with the help of a SSB mixer-based PFD [4] and a sub-harmonic injection locking technique [5], the PLL provides a pure clock from 26.9 to 28.5GHz with jitter as low as 508fs<sub>rms</sub>. Based on the design of the inside matching network, the transmitter supports a wider operating range via the external clock. Simulation indicates the internal peaking and transmission lines behave well from dc to 105GHz, and the transmitter is verified by measurement to provide a flat data response from 1Gb/s to 60Gb/s. The tail currents in combiner II are twice as much as those in combiner I to realize PAM4 waveforms.

Both TX circuits are designed and fabricated in 65nm CMOS technology. The NRZ transmitter consumes 450mW of power and the PAM4 TX 290mW, both from a 1.2V supply. Figure 2.3.5 shows the measurement results for the NRZ transmitter. The 30Gb/s and 60Gb/s outputs are shown in Fig. 2.3.5(a) and (b), respectively, presenting output magnitude of 100mV with open eyes. The rms data jitter of 30Gb/s output measured from oscilloscope is equal to 1.08ps, and its peak-to-peak data jitter is measured as 5.33ps. The 30GHz clock output from the built-in PLL is also recorded as shown in Fig. 2.3.5(c). It presents rms jitter of 461fs (integrated from 1kHz to 20MHz offset), and phase noise of -100dBc/Hz at 1MHz offset. The PAM4 transmitter is also tested thoroughly. Figure 2.3.6(a) depicts the output waveform and phase noise plot of the 28GHz built-in PLL. It shows an integrated rms jitter (from 100Hz to 1GHz offset) of the divided-by-2 clock (i.e., 14GHz) of 508fs, and -98.5dBc/Hz phase noise at 1MHz offset. Using an external clock, we confirm the output waveform at different data rates. The PAM4 TX is verified to operate from less than 1Gb/s to 62Gb/s. Figure 2.3.6(b) and (c) reveal the PAM4 waveform at 32 and 60Gb/s, implying rising/falling time (20-to-80%) of 12.8ps and minimum eye opening of 50mV. The sharp transition and clean eyes ensure proper data delivery. Figure 2.3.7 shows the die micrograph of the two transmitter chips, which occupy 2.1×1.0mm<sup>2</sup> and 1.2×0.95mm<sup>2</sup>, respectively. A table summarizing the performance of this work and that of other state-of-the-art transmitters is shown in Fig. 2.3.7 as well.

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## **ISSCC 2014 PAPER CONTINUATIONS**

Clock Tree PRBS Data Buffer NR2 TX	28GHz PLL Data Buffer FFE,	
NR2 TX           IE         [6]         [7]         This Work           Data Rate         50Gb/s         40Gb/s         60Gb/s         60Gb/s           Function         2:1 MUX Only         +515.2 Reariver         4:1 MUX           Function         2:1 MUX Only         +42 MUX (00Gk/s)         +60Gh/s         +60Gh/s           TX Clock         N/A         N/A         -100dBc/Hz         +8 Bulli-in PRBS           TX Clock         N/A         N/A         -100dBc/Hz         -100dBc/Hz           TX Clock         N/A         N/A         461fs         -100dBc/Hz           Range (S.E.)         TV/p         N/A         100-300mV/p         -200mV/pr (60Gb/s)           Data Swing (S.E.)         70mV/pr (50Gb/s)         325mV/pr (40Gb/s)         250mV/pr (60Gb/s)           Data Swing (S.E.)         70mV/pr (50Gb/s)         325mV/pr (40Gb/s)         250mV/pr (60Gb/s)           Data Swing (S.E.)         70mV/pr (50Gb/s)         325mV/pr (40Gb/s)         250mV/pr (60Gb/s)           Data Swing (S.E.)         70mV/pr (50Gb/s)         325mV/pr (40Gb/s)         250mV/pr (60Gb/s)           Data Swing (S.E.)         70mV/pr (50Gb/s)         325mV/pr (40Gb/s)         30ps (50Gb/s)           Power         N/A         1047ps (2	PAM4 TX           Data Rate         25Gb/s         60Gb/s           Duta Rate         25Gb/s         60Gb/s           Function         Combiner + FFE         FB/second           TX Clock         N/A         -98.5dBc/Hz           TX Clock         N/A         -98.5dBc/Hz           TX Clock         N/A         -98.5dBc/Hz           TX Clock         N/A         50915           RMS Jitter         N/A         50930mVrp           Clock Input         N/A         50-300mVrp           Clock Input         100-300mVrp           Clock Input         430mVrp         250mVrp           Opening         20.4UI @ 25Gb/s         50.6UI @ 60Gb/s           Opening         20.901%rea         101.8mW         290mW           Chisamption         101.8mW         290mW         Chisamption           Chisamption         101.8mW         209mW         Chisamption           Chisamption         101.8mW         209mW         Chisamption           Chisamption         60Sb/s         65mm Digital         CMOS	
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